MEMORANDUM FOR DISTRIBUTION

Subj: RESOURCES AND REQUIREMENTS REVIEW BOARD (R3B) CHARTER

Ref: (a) R3B Administrative Guide

Encl: (1) R3B Decision-Making Authorities - Guiding Thresholds

1. Mission. The Resources and Requirements Review Board (R3B) is the Navy's 3/4-star decision forum for reviewing and making decisions on Navy requirement and resource issues.

2. Scope

   a. The R3B is a decision-making body and is not intended to serve as a peer review; it is not intended for informational briefs. The R3B acts as the focal point for decision-making regarding Navy/JCIDS ACAT I-IV requirements, the validation of non-acquisition related, emergent, and Joint requirements, synchronization of Planning, Programming, Budgeting, and Execution (PPBE) milestones, and resolution of cross-enterprise or cross-sponsor issues. The R3B shall conduct periodic reviews of the Navy's major program requirements and determine the affordability way ahead.

   b. Enclosure (1) provides the decision authority guidelines for requirement and resources issues forwarded for consideration by the R3B. The guidelines are not meant to supplant those sponsor decision authorities under other processes (e.g. POM development).

3. Membership. The R3B permanent voting members include principals from the following organizations:

   a. OPNAV N1/NT, N2, N3/5, N4, N6, N8, and CFFC (Deputy).

   b. A principal's deputy may attend a meeting on his or her behalf.

   c. Ad-hoc voting members, who may be invited, based on subject of issues being considered at the R3B, include:
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Director, Navy Staff (DNS), N091, N095, ASN (RD&A), and USMC (P&R).

d. Permanent non-voting members include: N80, N81, N81D, N82, N8F and R3B Executive Secretary (N80B).

4. Chairperson. The Deputy Chief of Naval Operations (Resources, Requirements and Assessments) (N8) serves as the Chairperson, but may invite another R3B member to serve as Chairperson, if the issue warrants. In general, N8 will invite another member to serve as Chairperson when a preponderance of the resources, requirements, or capabilities under review belongs to an R3B member and the impact of the decision to be made rests principally within the member's domain. The VCNO or CNO may serve as Chairperson of the R3B if the issue requires a 4-star level decision. The R3B Chair approves the R3B agenda, conducts the meeting, takes the vote, and establishes the decision made. In cases of non-unanimous vote, the Chair retains decision authority.

5. Guiding Principles. The following shall guide the intent and discussion at the R3B:

a. R3B discussions shall focus on achieving resolution of issues. Dissent among stakeholders shall not prevent issues from consideration before the R3B.

b. The R3B shall be conducted in a manner that promotes a high degree of collaboration and communication.

(1) Attendance at R3Bs shall be limited to principals plus one additional attendee, as necessary to provide technical advice, input, and assistance. Additional personnel may attend as requested through the R3B Executive Secretary.

(2) Any voting member, in order to advance discussion, may call for Executive Session.

c. The R3B should conclude with one of three actions: decide and report, forward issue to CNO/VCNO for action, or return the issue to the lead organization if there is insufficient information to make a decision.

6. Issue Referral. The CNO or VCNO may direct issues to the R3B for consideration or, alternately, issues may be recommended to the R3B Executive Secretary via the following:
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a. JCIDS process.

b. Naval Capabilities Board/other flag-level forums.

c. R3B member or other OPNAV organizations.

d. Other Navy organizations, e.g., Fleet, Secretariat.

e. Should USMC equities, Marine Corps Requirements Oversight Council (MROC) or "Blue in Support of Green" issues arise to the R3B, the R3B Secretariat shall ensure that appropriate USMC decision processes are followed.

7. R3B Executive Secretary. The Deputy Director, Programming Division (N80B) shall serve as the R3B Executive Secretary and will support the Chair in executing R3B responsibilities. The Executive Secretary shall recommend an R3B agenda to the Chair. The R3B Secretariat shall perform all of the necessary responsibilities associated with the execution and reporting out functions of the R3B. These include: scheduling, coordinating attendance, recording the minutes, writing, disseminating and tracking the R3B decisions, and maintaining an R3B meeting archive.

8. Business Rules

a. Reference (a) describes the R3B process and clarifies the procedures used to perform tasks contained within this charter.

b. N810 shall recommend to the R3B Secretariat the decision level authority for JCIDS documents.

c. Single approval signature from the R3B chair will suffice for all 3-star endorsements of JCIDS documents.

d. R3B validates all ACAT I-IV documents and is the approval authority for ACAT II-IV non-JROC interest documents.

e. R3B serves as the validation authority for all KPPs and KCAs.

f. Acquisition Program Baselines (APB) and APB changes may be reviewed by the R3B, if there is a cost, schedule, or performance negative trend or potential APB breach.
g. Lead organization shall coordinate information sources, validate assumptions and data prior to the R3B, and address stakeholder concerns as part of the R3B presentation.

h. R3B actions and decisions shall be documented in a R3B decision memorandum.

i. Decisions from the R3B meetings shall be distributed to the principals, VCNO, and CNO in a timely manner.

j. Members who do not agree with R3B decision may document objections as a dissent statement to be included in the R3B Decision Memorandum.

k. Non-contentious issues shall be forwarded for resolution by an “electronic R3B.”

R. F. WILLARD

Distribution:
DNS, N1/NT, N2, N3/N5, N4, N6, N8
N091, N095, N8F, N80, N81, N81D, N82
CMC, DC (P&R)
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N093, N84, N85, N86, N87, N88
## Decision-Making Authorities - Guiding Thresholds

### Acquisition–Related Requirements

- **ACAT 1 JROC and DAB Decisions**
  - CBA/ICD/CDD/CPD/APBs
  - JROC KPPs
  - Key Capability Attributes (KCAs)
    - Attributes that drive cost, performance and schedule - require CNO approval
- **Emergent Requirement Decisions**
  - Any changes to above

### Non-Acquisition Requirements

- Issues that require action by Congress
  - Legislation
  - ATR
  - Issues >$100M in FY or >$500M in FYDP

### VCNO Corp Board

- **ACAT II-IV JROC Interest Programs**
  - CBA/ICD/CDD/CPD/APBs
  - JROC KPPs
  - KCAs - require VCNO approval

### 3-Star

- **ACAT II-IV non-JROC Interest programs**
  - CBA/ICD/CDD/CPD/APBs
  - KCAs - require 3-Star approval
- **Recommended KCAs for ACAT I Programs**
- **Recommended KCAs for ACAT II-IV Joint Interest Programs**

### 2-Star

- Validate changes to ACAT I-IV requirements that are not KPPs or KCAs
- Cost avoidance/cost control measures that do not result in changes to approved requirements

### Endnotes

Encl(1)

Issues $50M-$100M in FY or $250M - $500M in FYDP:
- Single or aggregate program
- Cross-resource sponsor
- Cross-Enterprise
- Offset Prioritization

Joint/OSD interest issues

Issues $10M-$50M in FY or $50M-$250M in FYDP:
- Single or aggregate program
- Cross-resource sponsor
- Cross-Enterprise
- Offset Prioritization

Funding Metrics/goals – validation
Programming policy issues