OPNAV INSTRUCTION 5420.86

From: Chief of Naval Operations

Subject: INTEGRATION OF CENTER FOR NAVAL ANALYSES

Ref: (a) 48 CFR
     (b) DoD FFRDC Management Plan of 2 May 2011
     (c) Sponsorship Agreement, Contract Number N00014-11-D-0323 of 16 Mar 2011
     (d) SECNAVINST 5420.194
     (e) OPNAVINST 5430.48E
     (f) SECNAVINST 5420.60J

1. Purpose. To further implement references (a) through (c) and facilitate optimal integration of Center for Naval Analyses (CNA) with the Office of the Chief of Naval Operations (OPNAV) staff.

2. Background. The roles and missions of federally funded research and development centers (FFRDC) are set forth in part 35 of reference (a), and reference (b). As outlined in reference (c), CNA is an FFRDC sponsored by the Department of the Navy (DON). The Assistant Secretary of the Navy for Research, Development and Acquisition serves as the Secretary of the Navy’s representative for management purposes. CNA’s mission in support of DON is to provide authoritative, independent research, analysis, and technical support focusing on major present and future issues affecting the Navy, Marine Corps, and other Department of Defense (DoD) organizations. As the only DON-sponsored FFRDC, CNA enjoys a unique relationship with the DON, requiring special access to government and supplier data beyond that which is common in a normal contractual relationship. CNA assists DON, and other DoD senior leaders in Washington, the fleets, Marine forces, and operational forces worldwide by conducting a continuing program of research and analysis bearing on the development and application of naval and other DoD capabilities. CNA personnel are regularly assigned to provide embedded support to operational and forward-deployed forces engaged in the full spectrum of military operations. CNA’s mission necessitates a
continuing DON effort to minimize duplicative or unduly burdensome administrative processes which unnecessarily divert resources or otherwise degrade CNA’s ability to support DON.

3. Policy. All Navy organizations shall provide appropriate support to effect optimal integration of the Navy-CNA team, to include ensuring that properly cleared CNA personnel are provided appropriate access to DoD employees, facilities, and information necessary to plan and conduct DON-sponsored studies on the same basis (e.g., need-to-know) as properly cleared DON personnel. The above referenced support includes appropriate access to sensitive and proprietary information, intelligence information, plans and operation plans, naval messages, the Planning, Programming, and Budgeting System (PPBS), personnel data, and acquisition documents as necessary. It also includes facilitating continued, long-term CNA maintenance of non-public data archives as necessary to facilitate future CNA analysis in support of the DON. All access shall be in conformance with applicable law and policy.

4. Responsibilities

a. Director, Navy Staff (DNS)

   (1) Shall ensure CNA is optimally focused and postured to support Navy operational and strategic mission priorities.

   (2) Shall coordinate with CNA, the Navy Secretariat, and echelon 2 commanders and be supported by the Deputy Chiefs of Naval Operations (DCNO); OPNAV Director, Assessments (OPNAV N81); OPNAV Director, Innovation, Technology Requirements, and Test and Evaluation (OPNAV N84); Chief of Navy Reserve (CNO N095); echelon 2 commanders; and others as required on process and policy matters affecting the integration of CNA with Navy processes.

b. OPNAV N84 shall serve as the Navy’s cognizant CNA contracting officer.

c. OPNAV N81 shall serve as the contracting officer’s technical representative for the DON’s contract with CNA.
5. Navy-CNA Integration Board

a. In order to execute responsibilities assigned in this instruction, DNS shall sponsor and chair the Navy-CNA Integration Board as a continuing, intra-Navy committee within the OPNAV staff, per references (d) and (e). As an "intra-Navy" body, the Navy-CNA Integration Board does not constitute a Federal advisory committee within the meaning of reference (f).

b. Unless the chair (DNS) otherwise directs, board members shall consist of:

(1) DNS;
(2) DCNOs;
(3) OPNAV N81;
(4) OPNAV N84;
(5) CNO N095;
(6) Deputy Commander, U.S. Fleet Forces Command;
(7) Deputy Commander, U.S. Pacific Fleet; and
(8) Other DON personnel as the chair may appoint.

c. The President of the CNA will attend Navy-CNA Integration Board meetings and assist the chair in facilitating appropriate discussion and briefs to the board concerning CNA matters. The board will coordinate with and receive information from other organizations as the chair deems appropriate. The board shall meet at least quarterly and at such other times as the chair designates. The chair may, as appropriate, call for an executive session with Navy-only attendance.

d. The board will coordinate with and receive information from other organizations as the chair deems appropriate. The board shall meet at least quarterly and at such other times as the chair designates. The chair may, as appropriate, issue further terms of reference for the operation of the board. The board shall:
(1) Coordinate with CNA leadership, the Navy Secretariat and echelon 2 commanders to identify and remedy policy and procedural impediments unduly affecting CNA’s ability to provide optimally integrated support to the DON.

(2) As appropriate, review CNA research program (including sufficiency of CNA directed research) to ensure optimal alignment with current Navy strategy or focus.

6. Requirements. Nothing in this instruction shall be construed in a manner inconsistent with existing legal and policy requirements, including, without limitation, those pertaining to protection of sensitive, classified, or other protected information.

7. Records Management. Records created as a result of this instruction, regardless of media and format, shall be managed per Secretary of the Navy Manual 5210.1 of January 2012.

S. H. SWIFT
Director, Navy Staff

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