From: Secretary of the Navy

Subj: TECHNOLOGY TRANSFER AND SECURITY ASSISTANCE REVIEW BOARD

Ref: (a) SECNAVINST 5510.34B

1. Purpose. To make changes to the procedures and membership of the Technology Transfer and Security Assistance Review Board (TTSARB).

2. Cancellation. SECNAVINST 4900.46C.

3. Applicability. This instruction applies to the Offices of the Secretary of the Navy (SECNAV), the Chief of Naval Operations (CNO), the Commandant of the Marine Corps (CMC), and all U.S. Navy and U.S. Marine Corps installations, commands, activities, field offices, and all other organizational entities within the DON.

4. Policy. It is Department of the Navy (DON) policy to promote centralized policy development and to ensure that all precedent-setting or significant issues concerning technology transfer and foreign disclosure are reviewed by all concerned DON officials and that decisions represent a coordinated DON position.

5. Responsibilities

a. Mission and Scope

   (1) The TTSARB shall consider and review all precedent-setting or significant issues concerning technology transfer and foreign disclosure to fulfill strategic security cooperation objectives; obtain the views of cognizant DON officials; advise the SECNAV; and establish necessary DON policy and procedures.

   (2) The TTSARB shall focus on the international, technical, and business issues related to the matters submitted to it for consideration and decision. Although it will respond
to specific individual cases, the TTSARB shall principally focus on comprehensive global policy guidance.

(3) The TTSARB shall consider such matters as may be submitted to it by SECNAV, CNO, CMC, the Co-Chairpersons (identified in paragraph 4b(1)(a) and 4b(1)(b)), or the Deputy Assistant Secretary of the Navy (International Programs) (DASN (IP)) as the TTSARB Executive Director. Other DON officials may submit issues to the TTSARB via the TTSARB Executive Director.

(4) The TTSARB shall advise the SECNAV of all decisions rendered and take such action as the Secretary may direct.

(5) The scope and authority of the TTSARB does not include decisions related to anti-tamper requirements for U.S. systems.

(6) Reference (a) designates the Assistant Secretary of the Navy (Research, Development, and Acquisition) (ASN (RD&A)) as the Principal Disclosure Authority for the SECNAV, and addresses the implementation and delegation of DON disclosure authority. TTSARB decisions support the DON Delegated Disclosure Authority and Foreign Disclosure Officers conducting reviews and making determinations for release of information to foreign recipients.

b. Composition

(1) Membership. The TTSARB membership shall be composed in each specific case to ensure complete and informed consideration of the issue. Basic TTSARB composition will be:

   (a) Co-Chairperson: Vice Chief of Naval Operations, or Assistant Commandant of the Marine Corps for issues specific to the Marine Corps;

   (b) Co-Chairperson: ASN (RD&A);

   (c) Deputy Chief of Naval Operations (Operations, Plans, and Strategy) (OPNAV N3/N5);

   (d) Deputy Chief of Naval Operations (Integration of Capabilities and Resources);
(e) Deputy Chief of Naval Operations (Warfare Systems);

(f) Deputy Chief of Naval Operations (Information Warfare);

(g) Deputy Commandant, Plans, Policies, and Operations (DC, PP&O) (Marine Corps);

(h) Appropriate CNO or CMC Sponsor;

(i) Appropriate ASN (RD&A) Platform Official;

(j) Appropriate Systems Command (SYSCOM), Program Executive Officer, or Direct Reporting Program Manager; and

(k) Other DON members as appropriate and necessary for the specific issue under consideration.

(2) Staff Support. DASN (IP) will serve as TTSARB Executive Director, and the Director, Technology Security and Cooperative Programs, will serve as Executive Secretary. The staffs of the SECNAV, Office of the General Counsel, Navy Judge Advocate General, CNO, CMC, Staff Judge Advocate to the Commandant, and Commanders of SYSCOMs will provide support as required.

c. Procedures

(1) The Board will meet at the direction of the Co-Chairpersons or at the request of the TTSARB Executive Director.

(2) Issues proposed for consideration by the Board should be brought to the attention of the TTSARB Executive Director.

(3) The TTSARB Executive Director shall be responsible for the preparation of a draft Decision Memorandum with a proposal or options for consideration by the cognizant DON offices as required.

(4) OPNAV N3/N5 shall be responsible for coordination with the Naval Component Commanders and fleet commanders for the provision of fleet input as required.
(5) DC, PP&O shall be responsible for coordination with the Marine Corps Component Commanders for the provision of Marine Corps input as required.

(6) If consensus cannot be achieved through the staffing process, the TTSARB Executive Director will provide a recommendation to the Co-Chairpersons or ask for a meeting of the TTSARB to discuss the issue and reach a decision.

(7) Recommendations and comments on the draft Decision Memorandum, along with a proposed policy recommendation, shall be included in the coordinated Decision Memorandum prepared by the TTSARB Executive Director, reviewed by the Office of the General Counsel (International), and submitted to the Co-Chairpersons for final approval.

(8) The TTSARB Executive Director shall ensure each TTSARB decision is disseminated.

d. Administrative Procedures

(1) The TTSARB Executive Director shall oversee the preparation of TTSARB decision memoranda; prepare the agenda and briefing materials in support of TTSARB meetings; provide such other administrative support as may be directed by the Co-Chairpersons; and conduct policy coordination with the Office of the Secretary of Defense, other components of the Department of Defense, and other government agencies as necessary and appropriate.

(2) Detailed administrative procedures for TTSARB operations shall be issued in a separate memorandum or similar documentation by the Executive Director.

6. Records Management

a. Records created as a result of this instruction, regardless of format or media, must be maintained and dispositioned according to the records disposition schedules found on the Directives and Records Management Division (DRMD) portal page: https://portal.secnav.navy.mil/orgs/DUSNM/DONAA/DRM/SitePages/Home.aspx.
b. For questions concerning the management of records related to this instruction or the records disposition schedules, please contact your local Records Manager or the DRMD program office.

Distribution:
Electronic only via Department of the Navy Directives Web site
https://www.secnav.navy.mil/doni/