



DEPARTMENT OF THE NAVY
OFFICE OF THE CHIEF OF NAVAL OPERATIONS
WASHINGTON, DC 20350-2000

IN REPLY REFER TO
5520
Ser N09N2/6U871070

MAR 17 2006

From: Chief of Naval Operations

Subj: MODIFICATION OF INVESTIGATIVE STANDARDS FOR THE SINGLE
SCOPE BACKGROUND INVESTIGATION – PERIODIC REINVESTIGATION

Ref: (a) OPM FIN No 05-04 of 16 Sep 05
(b) White House Memo 24 Mar 97

Encl: (1) Phased Periodic Reinvestigation (PR) Criteria

1. In accordance with references (a) and (b) and effective immediately, all Single Scope Background Investigation – Periodic Reinvestigations (SSBI-PRs) conducted on individuals affiliated with the Department of the Navy (DON) will be conducted under modified standards when applicable. The modified investigative criteria established for the submission of the SSBI-PR is referred to as a Phased PR (PPR). The PPR was established in order to eliminate nonproductive investigative sources and represents a significant step in using research principles to identify more effective, efficient, and timely methods for the conduct of personnel security investigations.
2. The PPR may be requested if the subject does not disclose anything of a security concern on the SF-86 Personnel Security Questionnaire. Enclosure (1) criteria is to be used to determine when an SSBI-PR submission may be requested as a PPR. The OPM Investigation Type code used when submitting a PPR is 19C.
3. Investigative elements of a PPR include: a NAC (except that a technical fingerprint check of FBI files is not conducted); a subject interview, a credit check, an employment check, local agency checks, developed character references, and additional investigation when warranted by the facts of the case. If issues are developed during the field-work portion of any PPR, OPM will automatically expand the investigation coverage to full SSBI-PR coverage.
4. The CNO/N09N2 point of contact for this matter is Ms. Michelle Sawall who may be reached at (202) 433-2019, DSN 288-2019 or email msawall@ncis.navy.mil.


L. M. LOPEZ-POTTER
Assistant for Information and
Personnel Security
Acting

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SCOPE BACKGROUND INVESTIGATION – PERIODIC REINVESTIGATION

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PHASED PERIODIC REINVESTIGATION (PR) CRITERIA

The following select questions from the Standard Form 86, *Questionnaire for National Security Positions* constitutes the criteria in determining when a SSBI-PR may be submitted as a Phased PR:

<u>SF 86 Question</u>	<u>Response</u>
8a Citizenship	“I am not a U.S. Citizen” checked
8d Dual Citizenship	Checked
17 Your Foreign Activities	A positive response to questions: b, c, or d.
21 Your Medical Record	A positive response (except for marital, family, or grief counseling, not related to violence by the subject)
23 Your Police Record	A positive response to questions: a, b, c, d, e, or f
24 Your Use of Illegal Drugs and Drug Activity	A positive response to questions: a, b, or c
25 Your Use of Alcohol	A positive response
26 Your Investigation Record	A positive response to question: b
27 Your Financial Record	A positive response to questions: a, b, c, d
28 Your Financial Delinquencies	A positive response to questions: a, b
30 Your Association Record	A positive response to questions: a or b

Enclosure (1)

Full Scope SSBI-PR (Case type 18C Standard). A positive response by the subject or subject-provided information related to the criteria above may indicate a security concern. A positive response to **ANY** of the questions will result in investigations being submitted as a full scope SSBI-PR.

Phased SSBI-PR (PPR) (Case type 19C Standard). A Phased PR may be requested when none of the above criteria applies to the individual's responses.

OPM will automatically convert any PPR request to the full scope SSBI-PR product, at case scheduling, if there are any positive responses to one or more of the questions, and the agency will be billed for the SSBI-PR rate.

OPM will automatically expand the investigation to include reference, residence and education coverage if certain issues are developed during the conduct of the field-work portion of any PPR:

- Evidence that the subject is not a U.S. citizen, or has renounced or otherwise lost their U.S. citizenship since the time of the last investigation;
- Evidence that the subject is a dual citizen or has obtained dual citizenship since the time of the last investigation;
- Evidence that the subject has been employed by or acted as a consultant for any foreign government, firm or agency; that the subject has engaged in any contact with a foreign government or its establishments or representatives on other than official U.S. government business; that the subject holds or has been issued a foreign passport;
- Evidence that the subject has undergone mental health treatment (except marital, family or grief counseling, not related to violence by the subject) since the last investigation, whether this treatment began before or after the last investigation;
- Evidence that the subject has been charged with or convicted of any criminal offenses (excluding traffic violations resulting in fines less than \$150.00) since the last investigation;
- Evidence that the subject used any drugs since the last investigation;
- Evidence that the subject has abused alcohol or has received any alcohol-related treatment or counseling since the last investigation;
- Evidence that the subject has had a clearance or access authorization denied, suspended or revoked, or has been debarred from Federal employment since the last investigation;
- Evidence that the subject has financial issues; and

- Evidence that the subject has associated with any individuals or groups dedicated to the violent overthrow of the U.S. government or that the subject has acted to do so.